

UNIDADE DE GESTÃO DE PROJECTOS ESPECIAIS

CABO VERDE RENEWABLE ENERGY AND IMPROVED UTILITY PERFORMANCE PROJECT

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Invitation for Initial Selection Works

Procurement of Engineering Procurement, and Construction for

- FOGO island Solar PV plant (capacity: 1.3 MWp)
- SANTO ANTAO island Solar PV plant (capacity: 1.2 MWp)
- SAO NICOLAU island Solar PV plant (capacity: 0.4 MWp)
- MAIO island Solar PV plant (capacity: 0.4 MWp)

Employer: Unidade de Gestão de Projecto Especiais – Ministerio das Finanças e Fomento Empresarial

Project: Renewable Energy and Improved Utility Performance Project (REIUPP)

Contract title: Engineering Procurement, and Construction for

- FOGO island Solar PV plant (capacity: 1.3 MWp)
- SANTO ANTAO island Solar PV plant (capacity: 1.2 MWp)
- SAO NICOLAU island Solar PV plant (capacity: 0.4 MWp)
- MAIO island Solar PV plant (capacity: 0.4 MWp)

Country: Republic of Cabo Verde

Loan No. IDA Credit № 6993-CV / IBRD Loan № 9298-CV

ISD No: 009/REIUP/UGPE

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Table of Contents

| PART 1 – Initial Selection Procedures | 1 |
|---|----|
| Section I - Instructions to Applicants | 2 |
| Section II - Initial Selection Data Sheet (ISDS) | 19 |
| Section III - Initial Selection Criteria and Requirements | 25 |
| Section IV - Application Forms | 41 |
| Section V - Eligible Countries | 63 |
| Section VI - Fraud and Corruption | 65 |
| PART 2 – Employer's Requirements | 67 |
| Section VII - Scope of Employer's Requirements | 69 |

PART 1 – Initial Selection Procedures

Section I - Instructions to Applicants

Contents

| A. | General | 3 |
|-----|---|-----|
| 1. | Scope of Application | 3 |
| 2. | Source of Funds | 3 |
| 3. | Fraud and Corruption | 3 |
| 4. | Eligible Applicants | 4 |
| 5. | Eligibility | 6 |
| B. | Contents of the Initial Selection Document | 6 |
| 6. | Sections of Initial Selection Document | 6 |
| 7. | Clarification of Initial Selection Document and Pre-Application Meeting | 7 |
| 8. | Amendment of Initial Selection Document | |
| C. | Preparation of Applications | 8 |
| 9. | Cost of Applications | |
| 10. | Language of Application | |
| | Documents Comprising the Application | |
| | Application Submission Letter | |
| 13. | Documents Establishing the Eligibility of the Applicant | 9 |
| | Documents Establishing the Qualifications of the Applicant | |
| 15. | Signing of the Application and Number of Copies | .10 |
| D. | Submission of Applications | .10 |
| 16. | Sealing and Marking of Applications | |
| | Deadline for Submission of Applications | |
| | Late Applications | |
| 19. | Opening of Applications | .11 |
| Е. | Procedures for Evaluation of Applications | .11 |
| | Confidentiality | |
| 21. | Clarification of Applications | .11 |
| 22. | Responsiveness of Applications | .12 |
| 23. | Margin of Preference | .12 |
| 24. | Subcontractors | .12 |
| F. | Evaluation of Applications and Initial Selection of Applicants | .12 |
| | Evaluation of Applications | |
| 26. | Employer's Right to Accept or Reject Applications | .15 |
| | Initial Selection of Applicants | |
| 28. | Notification of Initial Selection | .17 |
| | Request for Proposals | |
| | Changes in Qualifications of Applicants | |
| 31. | Procurement Related Complaint | .18 |

Section I - Instructions to Applicants (ITA)

A.General

| 1. | Scope of Application | 1.1 | In connection with the invitation for Initial Selection indicated |
|----|----------------------|-----|---|
| | | | in Section II, Initial Selection Data Sheet (ISDS), the |
| | | | Employer, as defined in the ISDS, issues this Initial Selection |
| | | | Document ("Initial Selection Document") to prospective |
| | | | applicants ("Applicants") interested in submitting applications |
| | | | ("Applications") for Initial Selection to submit Proposals for |
| | | | the execution of the Works on EPC/Turnkey basis described in |
| | | | Section VII, Scope of Employer's Requirements. In case |
| | | | proposals for the Works EPC/Turnkey are to be invited as |
| | | | individual contracts (i.e., the slice and package procedure), |
| | | | these are listed in the ISDS. The Request for Proposals (RFP) |
| | | | number corresponding to this Initial Selection is also provided |
| | | | in the ISDS. |
| | | | |

- 2. Source of Funds
 2.1 The Borrower or Recipient (hereinafter called "Borrower") indicated in the ISDS has applied for or received financing (hereinafter called "funds") from the International Bank for Reconstruction and Development or the International Development Association (hereinafter called "the Bank") in an amount specified in the ISDS, towards the cost of the project named in the ISDS. The Borrower intends to apply a portion of the funds to eligible payments under the contract(s) resulting from the Request for Proposals (RFP) process for which this Initial Selection is conducted.
 - 2.2 Payment by the Bank will be made only at the request of the Borrower and upon approval by the Bank, and will be subject, in all respects, to the terms and conditions of the Loan (or other financing) Agreement. The Loan (or other financing) Agreement prohibits a withdrawal from the loan (or credit) account for the purpose of any payment to persons or entities, or for any import of goods, equipment, plant or materials, or services if such payment or import, to the knowledge of the Bank, is prohibited by a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations. No party other than the Borrower shall derive any rights from the Loan (or other financing) Agreement or have any claim to the proceeds of the loan (or credit).
 - 3.1 The Bank requires compliance with the Bank's Anti-Corruption Guidelines and its prevailing sanctions policies and
- 3. Fraud and Corruption

procedures as set forth in the WBG's Sanctions Framework, as set forth in Section VI, Fraud and Corruption.

- 3.2 In further pursuance of this policy, Applicants shall permit and shall cause their agents (where declared or not), subcontractors, subconsultants, service providers, suppliers, and personnel, to permit the Bank to inspect all accounts, records and other documents relating to any Prequalification process, Initial Selection process, Bid submission (in case prequalified), Proposal submission (in case initially selected) and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank.
- 4.1 Applicants shall meet the eligibility criteria as per this ITA and ITA 5.1.
 - 4.2 An Applicant may be a firm that is a private entity, a state-owned enterprise or institution subject to ITA 4.9 or any combination of such entities in the form of a joint venture ("JV") under an existing agreement or with the intent to enter into such an agreement supported by a letter of intent. In the case of a joint venture, all members shall be jointly and severally liable for the execution of the entire Contract in accordance with the Contract terms. The JV shall nominate an authorized Representative who shall have the authority to conduct all business for and on behalf of any and all the members of the JV during the Initial Selection process, RFP process (in the event the JV submits a Proposal) and during contract execution (in the ISDS, there is no limit on the number of members in a JV.
- 4.3 A firm is not permitted to participate in the initial selection for the same contract both as an individual firm and as a part of a joint venture or as a subcontractor. However, a firm may participate as a subcontractor in more than one Application but only in the capacity of a subcontractor. Applications submitted in violation of this procedure will be rejected.
- 4.4 A firm and any of its affiliates (that directly or indirectly control, are controlled by or are under common control with that firm) are not permitted to submit more than one application for initial selection for the same contract, either individually, as joint venture or as a subcontractor among them. Applications submitted in violation of this procedure will be rejected.
- 4.5 An Applicant may have the nationality of any country, subject to the restrictions pursuant to ITA 5.1. An Applicant shall be deemed to have the nationality of a country if the Applicant is constituted, incorporated, or registered in and operates in conformity with the

4. Eligible Applicants

provisions of the laws of that country, as evidenced by its articles of incorporation (or equivalent documents of constitution or association) and its registration documents, as the case may be. This criterion also shall apply to the determination of the nationality of proposed specialized sub-contractors or suppliers for any part of the Contract including related Services.

- 4.6 Applicants and proposed specialized sub-contractors or suppliers for any part of the Contract including related services (for the purpose of this ITA 4.6 referred to as "Applicants") shall not have a conflict of interest. Applicants shall be considered to have a conflict of interest, if they, or any of their affiliates, participated as a consultant in the preparation of the Employer's Requirements or have been hired or proposed to be hired by the Employer or Borrower as Engineer for contract implementation of the Works EPC/Turnkey that are the subject of this Initial Selection. In addition, Applicants may be considered to have a conflict of interest if they have a close business or family relationship with a professional staff of the Borrower (or of the project implementing agency, or of a recipient of a part of the loan) who: (i) are directly or indirectly involved in the preparation of the Initial Selection Document or Request for Proposals (RFP) Document or Employer's Requirements of the Contract, and/or the Proposal evaluation process of such Contract; or (ii) would be involved in the implementation or supervision of such Contract, unless the conflict stemming from such relationship has been resolved in a manner acceptable to the Bank throughout the procurement process and execution of the Contract.
- 4.7 An Applicant that has been sanctioned by the Bank, pursuant to the Bank's Anti-Corruption Guidelines, and in accordance with its prevailing sanctions policies and procedures as set forth in the WBG's Sanctions Framework, as described in Section VI, paragraph 2.2 d. shall be ineligible to be prequalified for, initially selected for, bid for, propose for or be awarded a Bankfinanced contract or benefit from a Bank-financed contract, financially or otherwise, during such period of time as the Bank shall have determined.
- 4.8 The list of debarred firms and individuals is available as specified in the ISDS.
- 4.9 Applicants that are state-owned enterprise or institutions in the Employer's Country may be eligible to be initially selected, compete, and be awarded a Contract(s) only if they can establish, in a manner acceptable to the Bank, that they (i) are legally and financially autonomous (ii) operate under

commercial law, and (iii) are not under supervision of the Employer.

- 4.10 An Applicant shall not be under suspension from bidding or submitting proposals by the Employer as the result of the execution of a Bid or Proposal–Securing Declaration.
- 4.11 An Applicant shall provide such documentary evidence of eligibility satisfactory to the Employer, as the Employer shall reasonably request.
- 4.12 A firm that is under a sanction of debarment by the Borrower from being awarded a contract is eligible to participate in this procurement, unless the Bank, at the Borrower's request, is satisfied that the debarment:
 - (a) relates to fraud or corruption; and
 - (b) followed a judicial or administrative proceeding that afforded the firm adequate due process.
- 5. Eligibility 5.1 Firms and individuals may be ineligible if they are nationals of ineligible countries as indicated in Section V. The countries, persons or entities are ineligible if (a) as a matter of law or official regulations, the Borrower's country prohibits commercial relations with that country, provided that the Bank is satisfied that such exclusion does not preclude effective competition for the supply of goods or the contracting of works or services required; or (b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Borrower's country prohibits any import of goods or contracting of works or services from that country, or any payments to any country, person, or entity in that country. When the Works EPC/Turnkey is implemented across jurisdictional boundaries (and more than one country is a Borrower, and is involved in the procurement), then exclusion of a firm or individual on the basis of ITA 5.1(a) above by any country may be applied to that procurement across other countries involved, if the Bank and the Borrowers involved in the procurement agree.

B. Contents of the Initial Selection Document

- 6. Sections of Initial Selection Document
- 6.1 This Initial Selection Document consists of parts 1 and 2 which comprise all the sections indicated below, and which should be read in conjunction with any Addendum issued in accordance with ITA 8.

PART 1 Initial Selection Procedures

- Section I Instructions to Applicants (ITA)
- Section II Initial Selection Data Sheet (ISDS)
- Section III Initial Selection Criteria and Requirements
- Section IV Application Forms
- Section V Eligible Countries
- Section VI Fraud and Corruption

PART 2 Employer's Requirements

- Section VII Scope of Employer's Requirements
- 6.2 Unless obtained directly from the Employer, the Employer accepts no responsibility for the completeness of the document, responses to requests for clarification, the minutes of the pre-Application meeting (if any), or Addenda to the Initial Selection Document in accordance with ITA 8. In case of any discrepancies, documents issued directly by the Employer shall prevail.
- 6.3 The Applicant is expected to examine all instructions, forms, and terms in the Initial Selection Document and to furnish with its Application all information or documentation as is required by the Initial Selection Document.
- 7.1 An Applicant requiring any clarification of the Initial Selection Document shall contact the Employer in writing at the Employer's address indicated in the ISDS. The Employer will respond in writing to any request for clarification provided that such request is received no later than fourteen (14) days prior to the deadline for submission of the applications. The Employer shall forward a copy of its response to all prospective Applicants who have obtained the Initial Selection Document directly from the Employer, including a description of the inquiry but without identifying its source. If so indicated in the ISDS, the Employer shall also promptly publish its response at the web page identified in the ISDS. Should the Employer deem it necessary to amend the Initial Selection Document as a result of a clarification, it shall do so following the procedure under ITA 8 and in accordance with the provisions of ITA 17.2.
- 7. Clarification of Initial Selection Document and Pre-Application Meeting

- 7.2 If indicated **in the ISDS**, the Applicant's designated representative is invited at the Applicant's cost to attend a pre-Application meeting at the place, date and time mentioned **in the ISDS**. During this pre-Application meeting, prospective Applicants may request clarification of the project requirement, the criteria for qualifications or any other aspects of the Initial Selection Document.
- 7.3 Minutes of the pre-Application meeting, if applicable, including the text of the questions asked by Applicants, including those during the meeting (without identifying the source) and the responses given, together with any responses prepared after the meeting will be transmitted promptly to all prospective Applicants who have obtained the Initial Selection Document. Any modification to the Initial Selection Document that may become necessary as a result of the pre-Application meeting shall be made by the Employer exclusively through the use of an Addendum pursuant to ITA 8. Non-attendance at the pre-Application meeting will not be a cause for disqualification of an Applicant.
- 8.1 At any time prior to the deadline for submission of Applications, the Employer may amend the Initial Selection Document by issuing an Addendum.
- 8.2 Any Addendum issued shall be part of the Initial Selection Document and shall be communicated in writing to all Applicants who have obtained the Initial Selection Document from the Employer. The Employer shall promptly publish the Addendum at the Employer's web page identified **in the ISDS**.
- 8.3 To give Applicants reasonable time to take an Addendum into account in preparing their Applications, the Employer may, at its discretion, extend the deadline for the submission of Applications in accordance with ITA 17.2.

C. Preparation of Applications

- 9.1 The Applicant shall bear all costs associated with the preparation and submission of its Application. The Employer will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the Initial Selection process.
- 10.1 The Application as well as all correspondence and documents relating to the Initial Selection exchanged by the Applicant and the Employer, shall be written in the language specified **in the ISDS.** Supporting documents and printed literature that are part of the Application may be in another language, provided they are

8. Amendment of Initial Selection Document

9. Cost of Applications

10. Language of Application

accompanied by an accurate translation of the relevant passages in the language specified **in the ISDS**, in which case, for purposes of interpretation of the Application, the translation shall govern.

- 11.1 The Application shall comprise the following:
 - (a) **Application Submission Letter**, in accordance with ITA 12.1;
 - (b) **Eligibility:** documentary evidence establishing the Applicant's eligibility, in accordance with ITA 13.1;
 - (c) **Qualifications:** documentary evidence establishing the Applicant's qualifications, in accordance with ITA 14; and
 - (d) any other document required as specified in the ISDS.
- 11.2 The Applicant shall furnish information on commissions and gratuities, if any, paid or to be paid to agents or any other party relating to this Application
- Application12.1 The Applicant shall complete an Application Submission Letter
as provided in Section IV, Application Forms. This Letter must
be completed without any alteration to its format.
 - 13.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Letter and Forms ELI (eligibility) 1.1 and 1.2, included in Section IV, Application Forms.
 - 14.1 To establish its qualifications to perform the contract(s) in accordance with Section III Initial Selection Criteria and Requirements, the Applicant shall provide the information requested in the corresponding Information Sheets included in Section IV, Application Forms.
 - 14.2 Wherever an Application Form requires an Applicant to state a monetary amount, Applicants should indicate the USD equivalent using the rate of exchange determined as follows:
 - (a) for construction turnover or financial data required for each year - exchange rate prevailing on the last day of the respective calendar year (in which the amounts for that year are to be converted); and
 - (b) value of single contract exchange rate prevailing on the date of the contract.

12. Application

11. Documents

Comprising the

Application

13. Documents Establishing the Eligibility of the Applicant

14. Documents Establishing the Qualifications of the Applicant Exchange rates shall be taken from the publicly available source identified **in the ISDS**. Any error in determining the exchange rates in the Application may be corrected by the Employer.

- 15. Signing of the Application and Number of Copies
 15.1 The Applicant shall prepare one original of the documents comprising the Application as described in ITA 11 and clearly mark it "ORIGINAL". The original of the Application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant. In case the Applicant is a JV, the Application shall be signed by an authorized representative of the JV on behalf of the JV and so as to be legally binding on all the members as evidenced by a power of attorney signed by their legally authorized signatories.
 - 15.2 The Applicant shall submit copies of the signed original Application, in the number specified **in the ISDS**, and clearly mark them "COPY". In the event of any discrepancy between the original and the copies, the original shall prevail.

D. Submission of Applications

- **king** 16.1 The Applicant shall enclose the original and the copies of the Application in a sealed envelope that shall:
 - (a) bear the name and address of the Applicant;
 - (b) be addressed to the Employer, in accordance with ITA 17.1; and
 - (c) bear the specific identification of this Initial Selection process indicated in the ISDS 1.1.
 - 16.2 The Employer will accept no responsibility for not processing any envelope that was not identified as required in ITA 16.1 above.
 - 17.1 Applicants may either submit their Applications by mail or by hand. Applications shall be received by the Employer at the address and no later than the deadline indicated in the ISDS. When so specified in the ISDS, Applicants have the option of submitting their Applications electronically, in accordance with electronic Application submission procedures specified in the ISDS.
 - 17.2 The Employer may, at its discretion, extend the deadline for the submission of Applications by amending the Initial Selection Document in accordance with ITA 8, in which case all rights and obligations of the Employer and the Applicants subject to the

16. Sealing and Marking of Applications

17. Deadline for Submission of Applications previous deadline shall thereafter be subject to the deadline as extended.

- **18. Late Applications** 18.1 The Employer reserves the right to accept applications received after the deadline for submission of applications, unless otherwise specified **in the ISDS**.
- **19. Opening of**
Applications19.1 The Employer shall open all Applications at the date, time and
place specified in the ISDS. Late Applications shall be treated
in accordance with ITA 18.1.
 - 19.2 Applications submitted electronically (if permitted pursuant to ITA 17.1) shall be opened in accordance with the procedures specified **in the ISDS.**
 - 19.3 The Employer shall prepare a record of the opening of Applications to include, as a minimum, the name of the Applicants. A copy of the record shall be distributed to all Applicants.

E. Procedures for Evaluation of Applications

| 20. Confidentiality | 20.1 Information relating to the Applications, their evaluation and results of the Initial Selection shall not be disclosed to Applicants or any other persons not officially concerned with the Initial Selection process until the notification of Initial Selection results is made to all Applicants in accordance with ITA 28. |
|--------------------------------------|---|
| | 20.2 From the deadline for submission of Applications to the time of notification of the results of the Initial Selection in accordance with ITA 28, any Applicant that wishes to contact the Employer on any matter related to the Initial Selection process may do so only in writing. |
| 21. Clarification of Applications | 21.1 To assist in the evaluation of Applications, the Employer may, at its discretion, ask an Applicant for a clarification (including missing documents) of its Application, to be submitted within a stated reasonable period of time. Any request for clarification from the Employer and all clarifications from the Applicant shall be in writing. |
| | 21.2 If an Applicant does not provide clarifications and/or documents requested by the date and time set in the Employer's request for clarification, its Application shall be evaluated based on the information and documents available at the time of evaluation of the Application. |

| 22. Responsiveness of Applications | 22.1 The Employer may reject any Application which is not responsive to the requirements of the Initial Selection Document. In case the information furnished by the Applicant is incomplete or otherwise requires clarification as per ITA 21.1, and the Applicant fails to provide satisfactory clarification and/or missing information, it may result in disqualification of the Applicant. |
|---------------------------------------|--|
| 23. Margin of Preference | 23.1 Unless otherwise specified in the ISDS , a margin of preference for domestic Proposers ¹ shall not apply in the RFP process resulting from this Initial Selection. |
| 24. Subcontractors | 24.1 Unless otherwise stated in the ISDS , the Employer does not intend to execute any specific elements of the Works EPC/Turnkey by sub-contractors selected in advance by the Employer (so-called "Nominated Subcontractors"). |
| | 24.2 The Applicant shall not propose to subcontract the whole of the contract. The Applicant may propose subcontractors for certain specialized parts of the works, except the parts of the works for which subcontracting is not permitted as stated in the ISDS . Applicants planning to use such specialized subcontractors shall specify, in the Application Submission Letter, the parts of the Works proposed to be subcontracted along with details of the proposed subcontractors including their qualification and experience. |
| | 24.3 The Applicant shall not propose to subcontract works with a total accumulated value greater than the percentage stated in the ISDS . |
| F. Evaluation of | Applications and Initial Selection of Applicants |

25. Evaluation of Applications
 25.1 The Employer shall use the factors, methods, criteria, and requirements defined in Section III, Initial Selection Criteria and Requirements and the ISDS to evaluate the qualifications of the Applicants, and no other factors, methods, criteria, or requirements shall be used. The Employer reserves the right to waive minor deviations from the qualification criteria if they do not materially affect the technical capability and financial resources of an Applicant to perform the Contract.

An individual firm is considered a domestic Proposer for purposes of the margin of preference if it is registered in the country of the Employer, has more than 50 percent ownership by nationals of the country of the Employer, and if it does not subcontract more than 10 percent of the contract price, excluding provisional sums, to foreign contractors. JVs are considered as domestic Proposers and eligible for domestic preference only if the individual member firms are registered in the country of the Employer, have more than 50 percent ownership by nationals of the country of the Employer, and the JV shall be registered in the country of the Borrower. The JV shall not subcontract more than 10 percent of the contract price, excluding provisional sums, to foreign firms. JVs between foreign and national firms will not be eligible for domestic preference.

- 25.2 Subcontractors proposed by the Applicant shall be fully qualified for their parts of the works. The subcontractor's qualifications shall not be used by the Applicant to qualify for the Works EPC/Turnkey unless the applicant designates them as Specialized Subcontractors, in which case, the qualifications of the Specialized Subcontractor proposed by the Applicant may be added to the qualifications of the Applicant for the purpose of the evaluation, if specified **in the ISDS**.
- 25.3 In case of multiple contracts, Applicants should indicate in their Applications the individual contract or combination of contracts in which they are interested. The Employer shall initially select each Applicant for the maximum combination of contracts for which the Applicant has thereby indicated its interest and for which the Applicant meets the appropriate aggregate requirements specified in Section III - Initial Selection Criteria and Requirements.
- 25.4 However, with respect to the specific experience under item Section III (Qualification Criteria and Requirements), 4.2, the Employer will select any one or more of the options as identified below:

N is the minimum number of contracts

V is the minimum value of a single contract.

(a) Initial Selection for one Contract:

<u>Option 1</u>: (i) N contracts, each of minimum value V;

Or

Option 2: (i) N contracts, each of minimum value V,

Or

(ii) Less than or equal to N contracts, each of minimum value V, but with total value of all contracts equal or more than N x V

(b) Initial Selection for Multiple Contracts

<u>Option 1</u>: (i) Minimum requirements for combined contract(s) shall be the aggregate requirements for each contract for which the Applicant has applied for as follows, and N1, N2, N3, etc. shall be different contracts:

Lot 1: N1 contracts, each of minimum value V1;

Lot 2: N2 contracts, each of minimum value V2;

Lot 3: N3 contracts, each of minimum value V3; ----etc.

Or

<u>Option 2</u>: (i) Minimum requirements for combined contract(s) shall be the aggregate requirements for each contract for which the Applicant has applied for as follows, and N1, N2, N3, etc. shall be different contracts:

Lot 1: N1 contracts, each of minimum value V1;

Lot 2: N2 contracts, each of minimum value V2;

Lot 3: N3 contracts, each of minimum value V3; ----etc.,

Or

(ii) Lot 1: N1 contracts, each of minimum value V1; or number of contracts less than or equal to N1, each of minimum value V1, but with total value of all contracts equal or more than N1 x V1

Lot 2: N2 contracts, each of minimum value V2; or number of contracts less than or equal to N2, each of minimum value V2, but with total value of all contracts equal or more than N2 x V2

Lot 3: N3 contracts, each of minimum value V3; or number of contracts less than or equal to N3, each of minimum value V3, but with total value of all contracts equal or more than N3 x V3 ----etc.

Or

<u>Option 3:</u> (i) Minimum requirements for combined contract(s) shall be the aggregate requirements for each contract for which the Applicant has applied for as follows, and N1, N2, N3, etc. shall be different contracts:

Lot 1: N1 contracts, each of minimum

value V1;

Lot 2: N2 contracts, each of minimum value V2;

Lot 3: N3 contracts, each of minimum value V3; ----etc.,

Or

(ii) Lot 1: N1 contracts, each of minimum value V1; or number of contracts less than or equal to N1, each of minimum value V1, but with total value of all contracts equal or more than N1 x V1

Lot 2: N2 contracts, each of minimum value V2; or number of contracts less than or equal to N2, each of minimum value V2, but with total value of all contracts equal or more than N2 x V2

Lot 3: N3 contracts, each of minimum value V3; or number of contracts less than or equal to N3, each of minimum value V3, but with total value of all contracts equal or more than N3 x V3 ----etc.,

Or

- (iii) Subject to compliance as per (ii) above with respect to minimum value of single contract for each lot, total number of contracts is equal or less than N1 + N2 + N3 +--but the total value of all such contracts is equal or more than N1 x V1 + N2 x V2 + N3 x V3 +---.
- 25.5 Only the qualifications of the Applicant shall be considered. The qualifications of other firms, including the Applicant's subsidiaries, parent entities, affiliates, subcontractors (other than specialized subcontractors in accordance with ITA 25.2 above) or any other firm(s) different from the Applicant shall not be considered.
- 26.1 The Employer reserves the right to accept or reject any Application, and to annul the Initial Selection process and reject all Applications at any time, without thereby incurring any liability to the Applicants.
- 26. Employer's Right to Accept or Reject Applications

- 27. Initial Selection of Applicants27.1 The range of Applicants that the Employer may Initially Select (x = minimum number, y = maximum number) is specified in the ISDS.
 - 27.2 Initial Selection of Applicants involves several steps, as follows:
 - (a) Step 1 Table 1 Evaluation: The first step of Initial Selection involves evaluation against the methods, criteria and requirements described in Section III, Table 1: Qualification Criteria and Requirements;
 - (b) Step 2 Rejection: Applicants that do not substantially meet the qualification criteria and requirements in Table 1: Qualification Criteria and Requirements will not be evaluated further, and will be eliminated from the Initial Selection process;
 - (c) Step 3 Long List: Applicants that substantially meet the qualification criteria and requirements in Table 1: Qualification Criteria and Requirements will be long listed, and evaluated further;
 - (d) **Step 4** Depending on the number of Applicants that are long listed, one of the following options will apply:

Option 1: All are Initially Selected: Where the number of long listed Applicants is $\leq x$, all long-listed Applicants are Initially Selected. In this scenario, there is no requirement to evaluate these long-listed Applicants against Table 2: Rated Criteria and Requirements;

Option 2: Applicants are evaluated using Table 2 Evaluation: Where the number of long listed Applicants is > x, the Employer shall evaluate all longlisted Applicants against Table 2: Rated Criteria and Requirements. This evaluation method involves scoring each Application against rated criteria using the scoring methodology described;

- (e) **Step 5 Rank Applicants**: The total scores, from this step of the Initial Selection evaluation, for each long-listed Applicant are compared, and the Applicants are ranked from the highest to the lowest total score;
- (f) Step 6 Initial Selection up to x: In accordance with the values selected by the Employer for x and y, the Employer Initially Selects the Applicants that are

17

ranked from the highest score, to the Applicants ranked as x;

- (g) Step 7 Reject y+1 Applicants: Where the number of long listed Applicants is > y, the Employer rejects all Applicants that are ranked greater than y, i.e. ranked as y+1, y+2, y+3, etc.;
- (h) Step 8 Optional, at the Employer's Discretion: Ranked Applicants which are greater than **x**, up to, and including y, are not normally Initially Selected. However, the Employer may, at its sole discretion, if justified, Initially Select one or more additional Applicant (s) from those that are ranked x+1, up to and including v. This Initial Selection will follow the order of ranking (i.e. the Employer cannot Initially Select x+2, without Initially Selecting x+1).
- 28.1 The Employer shall notify all Initially Selected Applicants in writing that they have been Initially Selected. In providing this notification the Employer shall include the names of all Applicants that have been Initially Selected.
 - 28.2 The Employer shall separately notify all other Applicants that they have not been Initially Selected, and that they have been eliminated from the process. Any Applicant that has been eliminated from the process at this stage may request, in writing, the grounds on which they were eliminated.
 - 29.1 Promptly after the notification of the results of the Initial Selection, the Employer shall invite Proposals from all the Applicants that have been initially selected.
 - 29.2 Proposers may be required to provide a Proposal Security or a Proposal-Securing Declaration acceptable to the Employer in the form and an amount to be specified in the RFP document.
 - 29.3 The successful Proposer shall be required to provide a Performance Security as specified in the RFP document.
 - 29.4 If applicable, the successful Proposer may also be required to Environmental and Social provide a separate (ES) Performance Security.
 - 29.5 Proposers shall be required to provide a Code of Conduct which will apply to their and subcontractors' personnel that includes the minimum requirements specified in the RFP document.

28. Notification of Initial Selection

29. Request for Proposals

- 29.6 If required in the RFP document, the successful Proposer shall provide additional information about its beneficial ownership using the Beneficial Ownership Disclosure Form included in the RFP document.
- 29.7 If specified in the ISDS, the Employer intends to require Proposers to submit a Sexual Exploitation and Abuse (SEA) and/or Sexual Harassment (SH) Declaration, using the Sexual Exploitation and Abuse (SEA), and/or Sexual Harassment (SH) declaration form included in the RFP documents.
- 29.8 Prior to Contract award, the Employer will verify that the successful Proposer (including each member of a JV) is not disqualified by the Bank due to noncompliance with contractual SEA/SH prevention and response obligations. The Employer will conduct the same verification for each subcontractor proposed by the successful Proposer. If any proposed subcontractor does not meet the requirement, the Employer will require the Proposer to propose a replacement subcontractor.
- 30.1 Any change in the structure or formation of an Applicant after being initially selected in accordance with ITA 27 and invited to submit Proposal (including, in the case of a JV, any change in the structure or formation of any member and also including any change in any specialized subcontractor) shall be subject to the written approval of the Employer prior to the deadline for submission of Proposals. Such approval shall be denied if (i) an initially selected applicant proposes to associate with a disqualified applicant or in case of a disqualified joint venture, any of its members; (ii) as a consequence of the change, the Applicant no longer substantially meets the qualification criteria set forth in Section III - Initial Selection Criteria and Requirements Table 1 - Qualification Criteria, and Requirements; or (iii) no longer continues to be in the list of Initially Selected Applicants as a result of the Employer's reevaluation of the Application in accordance with ITA 27; or (iv) in the opinion of the Employer, the change may result in a substantial reduction in competition. Any such change should be submitted to the Employer not later than fourteen (14) days after the date of the Request for Proposals.
- **31. Procurement Related**
Complaint31.1 The procedures for making a Procurement-related Complaint
are as specified in the ISDS.

30. Changes in Qualifications of Applicants

Section II - Initial Selection Data Sheet (ISDS)

| | A. General |
|---------|--|
| ITA 1.1 | The identification of the Invitation for Initial Selection is: 009/REIUP/UGPE |
| | The Employer is: Unidade de Gestão de Projectos Especiais -Ministério das Finanças e do Fomento Empresarial. |
| | Av. China, Edif. Tribunal Constitucional, 3° andar. |
| | CP: 145, Chã-d 'Areia, Cidade da Praia. |
| | Republica de Cabo Verde (Republic of Cabo Verde). |
| | The list of contracts is: |
| | One (1) contract |
| | RFP name and number are: |
| | Ref° N°: 009/REIUP/UGPE - Engineering Procurement, and |
| | Construction for: |
| | FOGO island - Solar PV plant (capacity: 1.3 MWp) SANTO ANTAO island - Solar PV plant (capacity: 1.2 MWp) SAO NICOLAU island Solar PV plant (capacity: 0.4 MWp) MAIO island Solar PV plant (capacity: 0.4 MWp) |
| ITA 2.1 | The Borrower is: |
| | The Government of the Republic of Cabo Verde |
| | Loan or Financing Agreement amount: |
| | IBRD USD 3.5 million |
| | IDA Credit USD 3.5 million |
| | The name of the Project is: |
| | Renewable Energy and Improved Utility Performance Project (REIUPP) |
| ITA 4.2 | Maximum number of members in the JV shall be: Three (3) |
| ITA 4.8 | A list of debarred firms and individuals is available on the Bank's external website: <u>http://www.worldbank.org/debarr.</u> |
| | B. Contents of the Initial Selection Document |
| ITA 7.1 | For clarification purposes, the Employer's address is: |

| | Attention: |
|---------------|---|
| | • Mr. Daniel Santos - Project Manager – |
| | Email: <u>daniel.santos@mf.gov.cv</u> |
| | • Mrs. Irenalina Vicente – Procurement Officer |
| | Email: Irenalina.b.vicente@mf.gov.cv |
| | • Mr. Nuno Gomes – Coordinator UGPE – |
| | E-mail: <u>nuno.gomes@mf.gov.cv</u> |
| | Address: |
| | Ministério das Finanças e do Fomento Empresarial. |
| | Unidade de Gestão de Projectos Especiais. |
| | Av. China, Edif. Tribunal Constitucional, 3º andar. |
| | CP: 145, Chã-d 'Areia |
| | City: Cidade da Praia. |
| | Country: Republic of Cabo Verde |
| | |
| ITA 7.1 & 8.2 | Web page: <u>https://ugpe.gov.cv/</u> |
| ITA 7.2 | Pre-Application Meeting will be held: No |
| | C. Preparation of Applications |
| ITA 10.1 | This Initial Selection document has been issued in the English language. |
| | All correspondence exchange shall be in English language. |
| | The Application as well as all correspondence shall be submitted in English. |
| | Language for translation of supporting documents and printed literature is English. |
| ITA 11.1 (d) | The Applicant shall submit with its Application, the following additional documents: N/A |
| ITA 14.2 | The source for determining exchange rates is the Central Bank of Cabo Verde: <u>www.bcv.cv</u> |
| ITA 15.2 | In addition to the original, the number of copies to be submitted with the Application is: two (2) hard copies and one (1) electronic version (USB,) |

| | D. Submission of Applications |
|----------|--|
| ITA 17.1 | The deadline for Application submission is: |
| | Date: March 30, 2023 |
| | Time: 3:00 pm |
| | Address: |
| | Unidade de Gestão de Projectos Especiais. |
| | Ministério das Finanças e do Fomento Empresarial. |
| | Av. China, Edif. Tribunal Constitucional, 3º andar. |
| | CP: 145, Chã-d 'Areia |
| | City: Cidade da Praia. |
| | Country: Republic of Cabo Verde |
| | Telephone: +238 261 7584/+238 261 5939 |
| | Electronic mail address: <u>Nuno.Gomes@mf.gov.cv /daniel.santos@mf.gov.cv</u> /Irenalina.b.vicente@mf.gov.cv / |
| | Applicants have the option of submitting their Applications electronically. The electronic Application submission procedures shall be: |
| | • The electronic submission procedures shall be by email to the following |
| | addresses: |
| | Email: <u>Nuno.Gomes@mf.gov.cv</u> ; <u>Irenalina.b.vicente@mf.gov.cv</u> |
| | cc: Daniel.Santos@mf.gov.cv |
| | Mandatory for: Proposals to be submitted through email: |
| | Applications sent by email shall have an overall size until 9 MB or be sent through a link. |
| | The email submitting applications shall mandatory and clearly indicated in the subject: "Initial Selection Ref ^o - RFP: 009/REIUP/UGPE - Engineering, Procurement and Construction for Solar PV Plant: in Fogo, Santo Antão, São Nicolau, and Maio" |
| | Original documents may be requested as part of the evaluation process and may be checked by the Client before signing the contract. |
| | UGPE will promptly acknowledge receipt of the Application , still Applicants is strongly recommended to call to UGPE for confirmation of delivery at number: |

| | Unidade de Gestão de Projetos Especiais Ministério das Finanças e Fomento Empresarial Tel: (+238) 261 7584 / 261 6198 |
|----------|---|
| ITA 18.1 | The Employer reserves the right to accept or reject late Applications. |
| ITA 19.1 | The opening of the Applications shall be on March 30, 2023 at 3: 30pm at following address: |
| | Unidade de Gestão de Projectos Especiais. |
| | Ministério das Finanças e do Fomento Empresarial. |
| | Av. China, Edif. Tribunal Constitucional, 3º andar. |
| | CP: 145, Chã-d 'Areia |
| | City: Cidade da Praia. |
| | Country: Republic of Cabo Verde |
| ITA 19.2 | The electronic Application opening procedures shall be: |
| | Yes, a link will be sent in due course, before the opening |
| | Clients and Applicants should check Inbox and SPAM folders for receipt of Initial Selection/emails |
| | The Client will treat as original all documents received through email |
| | Clients and Applicants should check Inbox and SPAM folders for receipt of Initial Selection/emails. |
| | E. Procedures for Evaluation of Applications |
| ITA 23.1 | A margin of domestic preference <u>shall not</u> apply. |
| ITA 24.1 | At this time the Employer does not intend to execute certain specific parts of the Works EPC/Turnkey by sub-contractors selected in advance. |
| ITA 24.2 | N/A |
| ITA 24.3 | Maximum percentage of subcontracting proposed to be permitted for the Works EPC/Turnkey that are the subject of this Initial Selection shall not exceed 30% of the total contract amount. |
| | Applicants planning to subcontract more than 30 % of total volume of works shall specify, in the Application Submission Form, the activity(ies) or parts of the works to be subcontracted along with complete details of the sub-contractors and their qualification and experience. The qualification and experience of the sub- contractors must meet the minimum criteria for the relevant works to be subcontracted failing which such subcontractors will not be permitted to |

| participate. |
|---|
| Subcontractors' qualification and experience will not be considered for evaluation of the Applicant. The Applicant on its own (without taking into account the qualification and experience of the subcontractor) should meet the prequalification criteria. |

| F. Eval | uation of Applications and Initial Selection of Applicants |
|----------|--|
| ITA 25.2 | The relevant qualifications of the proposed Specialized Subcontractors will not be added to the qualifications of the Applicant for the purpose of evaluation as indicated in Section III - Initial Selection Criteria and Requirements. |
| ITA 27.1 | Initial Selection – minimum number (x) |
| | The Employer intends to Initially Select the following number of long listed Applications: Five (5). This number is referred to as x and is the minimum number to be Initially Selected. |
| | Initial Selection – maximum number (y) |
| | The Employer, may, at its sole discretion, Initially Select more than the minimum number of long listed Applications. The maximum number that may be Initially Selected is Eight (8) . This number is referred to as <i>y</i> . |
| ITA 29.7 | The Employer intends to require Proposers to submit: |
| | Sexual Exploitation and Abuse (SEA) and/or Sexual Harassment (SH) Declaration. Forced Labor Performance Declaration (which covers past performance). Forced Labor Declaration (which covers future commitments to prevent, monitor and report on any forced labor, cascading the requirements to their own sub-contractors and suppliers). |
| ITA 31.1 | The procedures for making a Procurement-related Complaint are detailed in the "Procurement Regulations for IPF Borrowers (Annex III)." If an Applicant wishes to make a Procurement-related Complaint, the Applicant shall submit its complaint following these procedures, in writing (by the quickest means available, that is either by email or fax), to: |
| | For the attention: Mr. Nuno Gomes |
| | Title/position: Coordinator UGPE |
| | Employer: Unidade de Gestão de Projectos Especiais. |
| | Email address : <u>nuno.gomes@mf.gov.cv</u> / <u>Irenalina.b.vicente@mf.gov.cv</u> / <u>Daniel.santos@mf.gov.cv</u> |
| | In summary, at this stage, a Procurement-related Complaint may challenge any of the following: |
| | 1. the terms of the Initial Selection Document; and |
| | 2. the Employer's decision not to initially select an Applicant. |

Section III - Initial Selection Criteria and Requirements

This section contains all the methods, criteria, and requirements that the Employer shall use to evaluate Applications. The information to be provided in relation to each requirement and the definitions of the corresponding terms are included in the respective Application Forms.

Contents

| Tabl | le 1 – Qualification Criteria and Requirements | |
|-------------------------|--|-----------------------|
| | Eligibility | |
| | Historical Contract Non-Performance | |
| | Financial Situation and Performance | |
| | | |
| 4. | Experience | |
| | - | |
| Tabl | le 2 – Rated Criteria and Requirements Past Performance | |
| Tabl 1. | le 2 – Rated Criteria and Requirements Past Performance | 37 |
| Tabl 1. 2. | le 2 – Rated Criteria and Requirements | 37 37 38 |

Table 1 – Qualification Criteria and Requirements

[Note to Employer: Only applications that are substantially responsive to the qualification criteria and requirements in Table 1 are to be assessed against Table 2, Rated Criteria and Requirements.]

1. Eligibility

| Criteria | | | Single Entity Requirements | Joint Venture Requirements | | | Documentation |
|----------|---|--|-------------------------------|----------------------------|--------------------------|---------------|---|
| No. | Subject | Requirement | | All Members Combined | Each Member | One Member | Submission Requirements |
| 1.1 | Nationality | Nationality in accordance with ITA 4.5 | Must meet requirement | Must meet requirement | Must meet requirement | N/A | Forms ELI – 1.1 and 1.2, with attachments |
| 1.2 | Conflict of Interest | No conflicts of interest in accordance with ITA 4.6 | Must meet requirement | Must meet requirement | Must meet requirement | N/A | Application Submission Letter |
| 1.3 | Bank Eligibility | Not having been declared ineligible by the Bank, as described in ITA 4.7 and 5.1 | Must meet requirement | Must meet requirement | Must meet requirement | N/A | Application Submission Letter |
| 1.4 | State-owned enterprise or institution of the Borrower country | Meets conditions of ITA 4.9 | Must meet requirement | Must meet requirement | Must meet requirement | N/A | Forms ELI – 1.1 and 1.2, with attachments |
| 1.5 | United Nations resolution or Borrower's country law | Not having been excluded as a result of prohibition in the Borrower's country laws or official regulations against commercial relations with the Applicant's country, or by an act of compliance with UN Security Council resolution, both in accordance with ITA 5.1 and Section V. | Must meet requirement | Must meet requirement | Must meet requirement | N/A | Forms ELI – 1.1 and 1.2, with attachments |

2. Historical Contract Non-Performance

| Criteria | | | Single Entity | | | | Documentation |
|----------|--|--|---------------------------------------|---------------------------|---------------------------------------|---------------|----------------------------------|
| No. | Subject | Requirement | Requirements | All Members Combined | Each Member | One Member | Submission Requirements |
| 2.1 | History of Non- Performing Contracts | Non-performance of a contract ¹ did not occur as a result of contractor's default since 1 st January 2015. | Must meet requirement ¹ | Must meet requirements | Must meet requirement ² | N/A | Form CON-2 |
| 2.2 | Suspension Based on Execution of Bid or Proposal Securing Declaration by the Employer | Not under suspension based on execution of Bid or Proposal Securing Declaration pursuant to ITA 4.10. | Must meet requirement | Must meet requirement | Must meet requirement | N/A | Application Submission Letter |
| 2.3 | Pending Litigation | Applicant's financial position and prospective long-term profitability still sound according to criteria established in 3.1 below and assuming that all pending litigation will be resolved against the Applicant | Must meet requirement | N/A | Must meet requirement | N/A | Form CON – 2 |
| 2.4 | Litigation History | No consistent history of court/arbitral award decisions against the Applicant ³ since 1 st January 2015 | Must meet requirement | Must meet requirement | Must meet requirement | N/A | Form CON – 2 |

¹ Nonperformance, as decided by the Employer, shall include all contracts where (a) nonperformance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Nonperformance shall not include contracts where Employers decision was overruled by the dispute resolution mechanism. Nonperformance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.

² This requirement also applies to contracts executed by the Applicant as JV member.

³ The Applicant shall provide accurate information on the related Application Form about any litigation or arbitration resulting from contracts completed or ongoing under its execution over the last five years. A consistent history of awards against the Applicant or any member of a joint venture may result in rejection of the Application.

| Criteria | | Single Entity | Joint Ve | nture Requiren | Documentation | | |
|----------|---|--|--|-------------------------|--|---------------|---|
| No. | Subject | Requirement | Requirements | All Members Combined | Each Member | One Member | Submission Requirements |
| 2.5 | Declaration: Environment al and Social (ES) past performance | Declare any civil work contracts that have been suspended or terminated and/or performance security called by an employer for reasons related to the non-compliance of any environmental, or social (including Sexual Exploitation and Abuse) contractual obligations in the past five years. ⁴ | Must make the declaration. Where there is Specialized Sub- contractor/s such Specialized Sub- contractor/s must make the declaration. | N/A | Each must make the declaration. Where there is Specialized Sub- contractor/s such Specialized Sub- contractor/s must make the declaration. | N/A | Form CON-3 ES Performance Declaration |
| 2.6 | Bank's Sexual Exploitation and Abuse and / or Sexual Harassment (SEA/SH) Disqualifica tion | (a) At the time of Contract Award, not subject to disqualification by the Bank for non-compliance with SEA/ SH obligations (b) If the Applicant had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations, the Applicant shall either (i) provide evidence of an arbitral award on the disqualification made in its favour; or (ii) demonstrate that it has adequate capacity and commitment to comply with SEA/SH prevention and response obligations; or (iii) provide evidence that it has already demonstrated such capacity and | Must meet requirement (Including each subcontractor) | N/A | Must meet requirement (including each subcontractor proposed by the Applicant) | N/A | Application Submission Letter, Form CON-4 |

⁴ The Employer may use this information to seek further information or clarifications during the request for proposal stage and the associated due diligence.

| Criteria | | | Single Entity | L 1 | | | Documentation |
|----------|---------|--|---------------|-------------------------|----------------|---------------|----------------------------|
| No. | Subject | Requirement | Requirements | All Members Combined | Each Member | One Member | Submission Requirements |
| | | commitment for another Bank financed works contract. | | | | | |

3. Financial Situation and Performance

| Criteria | | Single Entity | Joint Venture Requirements | | | Documentation | |
|----------|---------------------------|--|--|--|--------------------------|---------------|-------------------------------------|
| No. | Subject | Requirement | Requirements | All Members Combined | Each Member | One Member | Submission Requirements |
| 3.1 | Financial Capabilities | (i) The Applicant shall demonstrate that it has access to, or has available, liquid assets, unencumbered real assets, lines of credit, and other financial means (independent of any contractual advance payment) sufficient to meet the cash flow requirements estimated as USD \$ 2,500,000 for the subject contract(s) net of the Applicants other commitments. (ii) The Applicant shall also demonstrate, to the satisfaction of the Employer, that it has adequate sources of finance to meet the cash flow requirements | Must meet requirement Must meet requirement | Must meet requirement Must meet requirement | N/A N/A | N/A N/A | Form FIN – 3.1, with attachments |
| | | (iii) The audited balance sheets or, if not required by the laws of the Applicant's country, other financial statements acceptable to the Employer, for the last <i>three</i> (3) years (2019, 2020, 2021) shall be submitted and must demonstrate the current soundness of the Applicant's | Must meet requirement | N/A | Must meet requirement | N/A | |

| Criteria | | | Single Entity | Joint Vo | Documentation | | |
|----------|-------------------------------|---|--------------------------|----------------------------|----------------|--|----------------------------|
| No. | Subject | Requirement | Requirements | All Members Combined | Each Member | One Member | Submission Requirements |
| | | financial position and indicate its prospective long-term profitability. | | | | | |
| 3.2 | Average Annual Turnover | Minimum average annual construction turnover of US\$ <i>five</i> <i>million</i> (5,000,000), calculated as total certified payments received for contracts in progress and/or completed within the last <i>three</i> (3) years (2019, 2020, 2021, divided by <i>three</i> (3) years. | Must meet requirement | Must meet requirement | N/A | Must meet 100 %, (one hundred percent) of the requirement | Form FIN – 3.2 |
4. Experience

| | | Criteria | Single Entity | Joint V | enture Requi | rements | Documentation |
|------------|---------------------------------------|--|--------------------------|---------------------------------------|--------------------------|---------------|----------------------------|
| No. | Subject | Requirement | Requirements | All Members Combined | Each Member | One Member | Submission Requirements |
| 4.1 | General Construction Experience | Experience under construction contracts in the role of prime contractor, JV member, subcontractor, or management contractor for at least the last four (4) years, starting 1 st January 2019. | Must meet requirement | N/A | Must meet requirement | N/A | Form EXP – 4.1 |
| 4.2 (a) | Specific Experience | A minimum number of three (<i>3</i>) similar contracts specified below that have been satisfactorily and substantially ⁵ completed as a prime contractor, joint venture member ⁶ , management contractor or subcontractor between 1st January <i>2015</i> and Application submission deadline: Three (3) contracts, each of minimum value 2,000,000 US\$. A minimum design experience, using the above or other contracts, in at least three (3) contracts shall be demonstrated for the following key | Must meet requirement | Must meet requirement ⁷ | N/A | N/A | Form EXP 4.2 |

⁵ Substantial completion shall be based on 80% or more of the contract completed.

⁶ For contracts under which the Applicant participated as a joint venture member or sub-contractor, only the Applicant's role and responsibilities shall be considered to meet this requirement.

⁷ In the case of JV, the value of contracts completed by its members shall not be aggregated to determine whether the requirement of the minimum value of a single contract has been met. Instead, each contract performed by a member contributing to meeting the requirement shall satisfy the <u>minimum value of a single contract as required for single entity. In determining whether the JV meets the requirement of total number of contracts, only the number of contracts completed by members, each of value equal or more than the minimum value required, shall be aggregated.</u>

| | | Criteria | Single Entity | Joint V | enture Requi | irements | Documentation |
|------------|---|---|--------------------------|----------------------------|----------------|--------------------------|----------------------------|
| No. | Subject | Requirement | Requirements | All Members Combined | Each Member | One Member | Submission Requirements |
| | | activities for a minimum power of Two (2) MWp: Design of modules, inverters, transformers, electrical system components and transmission line. Design of module structures and foundations | | | | | |
| 4.2 (b) | Specific Experience in managing ES aspects | For the contracts in 4.2 (a) above and/or any other contracts [substantially completed and under implementation] as prime contractor, joint venture member, or Subcontractor between 1st January 2015 and Application submission deadline, experience in managing ES risks and impacts in accordance with the World Bank's "Environmental and Social Standards" and the "Environmental and Social Framework" or IFC's "Environmental and Social Sustainability Performance Standards", or equivalent | Must meet requirement | Must meet requirement | N/A | N/A | Form EXP – 4.2 (b) |
| 4.2 (c) | Specific Experience in Solar PV Plant | Participation as a prime contractor or member of a joint venture, since January 1, 2015, in two (2) contracts for the design, supply and connection of modules, inverters, transformers, structures, electrical system components for a minimum power of two (2) MWp. | Must meet requirement | N/A | N/A | Must meet requirement | Form EXP – 4.2 |

| 4.2 (d) | Specific experience in construction of MV/LV power system | Participation as a prime contractor, member of a joint venture, or subcontractor, since January 1, 2015, in three (3) contracts for the design, supply and construction and commissioning of MV substations of Um>=20 kV. NB: the references provided under criterion 4.2 (d) must include at least one reference with connection to an existing MV system. | Must meet requirement | N/A | N/A | Must meet requirement | Form EXP – 4.2 |
|------------|---|--|--------------------------|-----|-----|--------------------------|----------------|
| 4.2 (e) | Specific Experience in Design-Build or EPC | Participation as prime contractor or member of a joint venture, since January 1, 2015, in two (2) Design- Build or EPC (Engineering, Procurement & Construction) work contracts with a duration of more than one (1) year, in an amount exceeding five million (5,000,000.00) USD. | Must meet requirement | N/A | N/A | Must meet requirement | Form EXP – 4.2 |
| 4.2 (f) | Specific Experience in Sub-Saharan Africa | Participation as a prime contractor, consortium member, or subcontractor, since January 1, 2015, in at least two (2) contracts for the construction of a new power generation plant in Sub-Saharan Africa. | Must meet requirement | N/A | N/A | Must meet requirement | Form EXP – 4.2 |
| 4.2 (g) | Specific Experience on working on an island | Participation as a prime contractor, consortium member, or subcontractor, since January 1, 2015, in at least one (1) contract for the construction of a new power generation plant on an island. | Must meet requirement | N/A | N/A | Must meet requirement | Form EXP – 4.2 |

| 4.2 (h) | Key personnel | The Applicant Team (Project Manager, Construction Manager, and Site Managers must be fluent in English (Portuguese is an asset) and have a minimum of ten (10) years' experience, with a minimum of five (5) years' experience in the construction of new solar photovoltaic plants. | Must meet requirement | Must meet requirement | N/A | N/A | List of key personnel CV (2 pages) organizational chart |
|------------|------------------|--|--------------------------|--------------------------|-----|-----|---|
| | [Note: Other sp | pecific experience e.g. in operation may b | e specified as nece | ssary] | | | |

Table 2 – Rated Criteria and Requirements

[Note to Employer: The criteria, requirements, maximum scores, weightings and scoring methodology contained in the tables below are <u>examples only</u> and may be modified to suit the nature and complexity of the contract. Only applications that are substantially responsive to the qualification criteria and requirements in Table 1 are to be assessed against Table 2, Rated Criteria and Requirements]

1. Past Performance

| | | | | | | Sco | ring | Documentation |
|---|-----------------------------|-----------------------------|-------------|---|--------------------------|-----|---|---------------|
| | Require | Maximum score | Remark | Submission Requirement | | | | |
| Number of satisfactorily and substant specified in Table 1, Sub-Factor 4.2(v 1. similar to the Requirements (12. completed during the past eig Scoring methodology: Number of similar contracts 4.2 (a) Specific Experience 4.2 (c) Solar PV Plant 4.2 (d) MV/LV power system 4.2 (e) Design-Build or EPC 4.2 (f) Sub-Saharan Africa 4.2(h) working on an island | where this n Reference T | umber is x) Table 1, 4.2 |) that are: | x+1 contracts 10 20 10 20 10 20 | x contracts0000000000000 | 40 | In case of JV, all members combined will be evaluated. | Form EXP 4.2 |

2. Contract/Project Management Capability

| | Criteria | | | | | | | Documentation |
|--|---|---|--|---|-----|----|--|---------------------------|
| | Requirement | | | | | | | Submission Requirement |
| Contract/project management capability 1. Description of project manages status of accreditation with reindustry) 2. Environmental and Social risk 3. Use of value Engineering, introduced mathematical statement of the statemen | ement systen cognized into k management ovation, and | n/s and how ernational stant practice, a continuous | they will be andards appl nd improvemen | applied (includi icable to the t. | ing | 30 | In case of JV, the capability of the lead member will be evaluated | Table 2-PM |
| areas demonstrated.] | [all 3] | [2] | [1] | [0] | | | | |
| Initial score | [67-100] | [34-66] | [1-33] | [0] | | | | |
| | | | | | | | | |

3. Employer's Requirements

| | Scoring | | Documentation | | | | | |
|--|------------------------------|------------------------------|---------------|------------|-----|------------------|--------|---------------------------|
| | Requiren | nent | | | | Maximum score | Remark | Submission Requirement |
| Understanding of the Employer's Red 1. Approach to the contract (increquirements) 2. Program of the main design a 3. Key impacts and risks (include) Maximum 10 pages for 1 & 3 A Gant chart is required for 2 Scoring methodology: Key aspects: [Number and extent of key areas demonstrated.] Initial score | luding meeti nd works act | ng Environm ivities, time | nental and So | ocial (ES) | ule | 20 | | Table 2-ER |

4. Sustainable Procurement

| | Criteria | | | | | | | Documentation |
|---|--|---|--|-----------------------------------|---------------|----|--------------------------------------|---------------------------|
| Requirement | | | | | | | Remark | Submission Requirement |
| Sustainable procurement (ISO 20 400 key areas: Sustainable procurement prace Track record of delivering su Forced Labor Performance D Forced Labor Declaration (wireport on any forced labor, carand suppliers) Maximum 3 pages for 1 Scoring methodology: Key aspects: [Number and extent of key areas demonstrated.] Initial score | etice, and stainable pro- eclaration (w hich covers f | curement ou hich covers uture commi | tcomes. past perform tments to pre | ance) and a event, moni | a itor and | 10 | Each member will be evaluated. | Table 2-SP |

Section IV - Application Forms

Table of Forms

| Application Submission Letter | 42 |
|--|----|
| Form ELI -1.1 Applicant Information Form | 45 |
| Form ELI -1.2 Applicant's JV Information Form | 46 |
| Form CON – 2 Historical Contract Non-Performance, Pending Litigation and Litigation History | 47 |
| Form CON – 3 ES Performance Declaration | 49 |
| Form CON – 4 Sexual Exploitation and Abuse (SEA) and/or Sexual Harassment Performance Declaration | 51 |
| Form FIN – 3.1 Financial Situation and Performance | 53 |
| Form FIN - 3.2 Average Annual Construction Turnover | 55 |
| Form EXP - 4.1 General Construction Experience | 56 |
| Form EXP - 4.2 (a) Specific Experience | 57 |
| Form EXP - 4.2(b) Specific Experience in Managing ES aspects | 59 |
| Table 2-PM Contract / Project Management Capability | 60 |
| Table 2-ER Understanding of the Employer's Requirements | 61 |
| Table 2-SP Sustainable Procurement | 62 |

Application Submission Letter

Date: [insert day, month, and year] ISD No. and title: [insert ISD number and title]

To: [insert full name of Employer]

We, the undersigned, apply to be initially selected for the referenced Request for Proposal (RFP) and declare that:

- (a) No reservations: We have examined and have no reservations to the Initial Selection Document, including Addendum(s) No(s). issued in accordance with ITA 8: [insert the number and issuing date of each addendum].
- (b) No conflict of interest: We have no conflict of interest in accordance with ITA 4.6;
- (c) **Eligibility:** We (and our subcontractors) meet the eligibility requirements as stated in ITA 4, we have not been suspended by the Employer based on execution of a Bid-Securing Declaration or Proposal-Securing Declaration in accordance with ITA 4.10;
- (d) Suspension and Debarment: We, along with any of our subcontractors, suppliers, consultants, manufacturers, or service providers for any part of the contract, are not subject to, and not controlled by any entity or individual that is subject to, a temporary suspension or a debarment imposed by the World Bank Group or a debarment imposed by the World Bank Group in accordance with the Agreement for Mutual Enforcement of Debarment Decisions between the World Bank and other development banks. Further, we are not ineligible under the Employer's country laws or official regulations or pursuant to a decision of the United Nations Security Council;
- (e) **Sexual Exploitation and Abuse (SEA) and/or Sexual Harassment (SH):** [select the appropriate option from (i) to (v) below and delete the others].

We [where JV, insert: "including any of our JV members"], and any of our subcontractors:

- (i) [have not been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations.]
- (ii) [are subject to disqualification by the Bank for non-compliance with SEA/ SH obligations.]
- (iii)[had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations. An arbitral award on the disqualification case has been made in our favor.]
- (iv)[had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations for a period of two years. We have subsequently provided and demonstrated that we have adequate capacity and commitment to comply with SEA and SH prevention and response obligations.]

- (v) [had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations for a period of two years. We have attached documents demonstrating that we have adequate capacity and commitment to comply with SEA and SH prevention and response obligations.]
- (f) **State-owned enterprise or institution:** [select the appropriate option and delete the other] [We are not a state-owned enterprise or institution] / [We are a state-owned enterprise or institution but meet the requirements of ITA 4.9];
- (g) **Subcontractors and Specialized Subcontractors:** We, in accordance with ITA 24.2 and 25.2, plan to subcontract the following parts of the contract:

[Insert any part of the contract which the Applicant intends to subcontract along with complete details of the Specialized Subcontractors, their qualification and experience]

(h) **Commissions, gratuities, fees:** We declare that the following commissions, gratuities, or fees have been paid or are to be paid with respect to the Initial Selection process, the corresponding RFP process or execution of the Contract:

| Name of Recipient | Address | Reason | Amount |
|---|---|-------------------|--|
| [insert full name for each occurrence] | [insert street/ number/city/country] | [indicate reason] | [specify amount currency, value, exchange rate and US\$ equivalent] |
| | | | |
| | | | |

[If no payments are made or promised, add the following statement: "No commissions or gratuities have been or are to be paid by us to agents or any third party relating to this Application]

- (h) **Not bound to accept:** We understand that you may cancel the Initial Selection process at any time and that you are neither bound to accept any Application that you may receive nor to invite the initially selected Applicants to submit Proposal for the contract subject of this Initial selection process, without incurring any liability to the Applicants, in accordance with ITA 26.1.
- (i) **True and correct:** All information, statements and description contained in the Application are in all respect true, correct and complete to the best of our knowledge and belief.

Signed [insert signature(s) of an authorized representative(s) of the Applicant]

Name [insert full name of person signing the Application]

In the capacity of [insert capacity of person signing the Application]

Duly authorized to sign the Application for and on behalf of:

Applicant's Name [insert full name of Applicant or the name of the JV]

Address [insert street number/town or city/country address]

Dated on [insert day number] day of [insert month], [insert year]

[For a joint venture, either all members shall sign or only the authorized representative, in which case the power of attorney to sign on behalf of all members shall be attached]

Form ELI -1.1 Applicant Information Form

Date: [insert day, month, year] ISD No. and title: [insert ISD number and title] Page [insert page number] of [insert total number] pages

Applicant's name [insert full name] In case of Joint Venture (JV), name of each member: [insert full name of each member in JV] Applicant's actual or intended country of registration: [indicate country of Constitution] Applicant's actual or intended year of incorporation: [indicate year of Constitution] Applicant's legal address [in country of registration]: [insert street/ number/ town or city/ country] Applicant's authorized representative information Name: [insert full name] Address: [insert street/ number/ town or city/ country] Telephone/Fax numbers: [insert telephone/fax numbers, including country and city codes] E-mail address: [indicate e-mail address] 1. Attached are copies of original documents of Articles of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITA 4.5. In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.2. □ In case of state-owned enterprise or institution, in accordance with ITA 4.9 documents establishing: Legal and financial autonomy Operation under commercial law Establishing that the Applicant is not under supervision of the Employer 2. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership.

45

Form ELI -1.2 Applicant's JV Information Form

[The following form is additional to Form ELI - 1.1., and shall be completed to provide information relating to each JV member (in case the Applicant is a JV) as well as any Specialized Subcontractor proposed to be used by the Applicant for any part of the Contract resulting from this Initial Selection]

Date: [insert day, month, year] ISD No. and title: [insert ISD number and title] Page [insert page number] of [insert total number] pages

| Applicant name: |
|---|
| [insert full name] |
| Applicant's JV Member's name: |
| [insert full name of Applicant's JV Member] |
| Applicant's JV Member's country of registration: |
| [indicate country of registration] |
| Applicant JV Member's year of constitution: |
| [indicate year of constitution] |
| Applicant JV Member's legal address in country of constitution: |
| [insert street/ number/ town or city/ country] |
| Applicant JV Member's authorized representative information |
| Name: [insert full name] |
| Address: [insert street/ number/ town or city/ country] |
| Telephone/Fax numbers: [insert telephone/fax numbers, including country and city codes] |
| E-mail address: [indicate e-mail address] |
| 1. Attached are copies of original documents of |
| Articles of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above, in accordance with ITA 4.5. |
| □ In case of a state-owned enterprise or institution, documents establishing legal and financial autonomy, operation in accordance with commercial law, and they are not under the supervision of the Employer, in accordance with ITA 4.9. |
| 2. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership. |

Form CON – 2 Historical Contract Non-Performance, Pending Litigation and Litigation History

[The following table shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name: [insert full name] Date: [insert day, month, year] Joint Venture Member's Name: [insert full name] ISD No. and title: [insert ISD number and title] Page [insert page number] of [insert total number] pages

Non-Performed Contracts in accordance with Section III, Table 1 Qualification Criteria, and Requirements

□ Contract non-performance did not occur since 1st January *[insert year]* specified in Section III, – Table 1 Qualification Criteria, and Requirements, Sub-Factor 2.1.

□ Contract(s) not performed since 1st January *[insert year]* specified in Section III, – Table 1 Qualification Criteria, and Requirements, Sub-Factor 2.1.

| Year | Non- performed portion of contract | Contract Identification | Total Contract Amount (current value, currency, exchange rate and US\$ equivalent) | | | | | | |
|---|---|--|---|--|--|--|--|--|--|
| [insert year] | [insert amount and percentage] | Contract Identification: [indicate complete contract name/ number, and any other identification] Name of Employer: [insert full name] Address of Employer: [insert street/city/country] Reason(s) for nonperformance: [indicate main reason(s)] | [insert amount] | | | | | | |
| Pending I | Litigation, in accord | rdance with Section III, Table 1 Qualification Criteria, and | nd Requirements | | | | | | |
| □ No pending litigation in accordance with Section III, Table 1 Qualification Criteria, and Requirements, Sub-Factor 2.3. | | | | | | | | | |
| | 0 0 | | | | | | | | |

| Year of dispute | Amount in dispute (currency) | Contract Identification | Total Contract Amount (currency), USD Equivalent (exchange rate) |
|--------------------|--|---|--|
| [insert year] | [insert amount] | Contract Identification: [indicate complete contract name, number, and any other identification] Name of Employer: [insert full name] Address of Employer: [insert street/city/country] Matter in dispute: [indicate main issues in dispute] Party who initiated the dispute: [indicate "Employer" or "Contractor"] Status of dispute: | [insert amount] |
| Litigat | ion History in accord | ance with Section III, Table 1 Qualification Requirements | n Criteria, and |
| and R □ Litiga | equirements, Sub-Fac tion History in accord | ccordance with Section III, Table 1 Qualific ctor 2.4. lance with Section III, Table 1 Qualification 2.4 as indicated below. | |
| Year of award | Outcome as percentage of Net Worth | Contract Identification | Total Contract Amount (currency), USD Equivalent (exchange rate) |
| [insert year] | [insert percentage] | Contract Identification: [indicate complete contract name, number, and any other identification] Name of Employer: [insert full name] Address of Employer: [insert street/city/country] Matter in dispute: [indicate main issues in dispute] Party who initiated the dispute: [indicate "Employer" or "Contractor"] Reason(s) for Litigation and award decision [indicate main reason(s)] | [insert amount] |

Form CON – 3 ES Performance Declaration

[The following table shall be filled in for the Applicant, each member of a Joint Venture and each Specialized Subcontractor]

Applicant's Name: [insert full name] Date: [insert day, month, year] Joint Venture Member's or Specialized Subcontractor's Name: [insert full name] ISD No. and title: [insert ISD number and title] Page [insert page number] of [insert total number] pages

Environmental and Social Performance Declaration in accordance with Section III, Qualification Criteria, and Requirements

- □ No suspension or termination of contract: An employer has not suspended or terminated a contract and/or called the performance security for a contract for reasons related to Environmental and Social (ES) performance since the date specified in Section III, Qualification Criteria, and Requirements, Sub-Factor 2.5.
- Declaration of suspension or termination of contract: The following contract(s) has/have been suspended or terminated and/or Performance Security called by an employer(s) for reasons related to Environmental and Social (ES) performance since the date specified in Section III, Qualification Criteria, and Requirements, Sub-Factor 2.5. Details are described below:

| Year | Terminated contract or Suspended portion of contract | Contract Identification | Total Contract Amount (current value, currency, exchange rate and US\$ equivalent) |
|------------------|--|---|---|
| [insert year] | [insert amount and percentage] | Contract Identification: [indicate complete contract name/ number, and any other identification] Name of Employer: [insert full name] Address of Employer: [insert street/city/country] Reason(s) for suspension or termination: [indicate main reason(s) e.g. gender based violence; sexual exploitation or sexual abuse breaches] | [insert amount] |
| [insert year] | [insert amount and percentage] | Contract Identification: [indicate complete contract name/ number, and any other identification] Name of Employer: [insert full name] Address of Employer: [insert street/city/country] Reason(s) for suspension or termination: [indicate | [insert amount] |

| | | main reason(s)] | |
|------------------|---|--|--|
| | | [list all applicable contracts] | |
| Perform | nance Secu | rity called by an employer(s) for reasons related to ES per | formance |
| Year | | Contract Identification | Total Contract Amount (current value, currency, exchange rate and US\$ equivalent) |
| [insert year] | and any Name of Address Reason(s | Identification: [indicate complete contract name/ number, other identification] Temployer: [insert full name] of Employer: [insert street/city/country] s) for calling of performance security: [indicate main) e.g. gender-based violence; sexual exploitation or sexual eaches] | [insert amount] |

Form CON – 4 Sexual Exploitation and Abuse (SEA) and/or Sexual **Harassment Performance Declaration**

[The following table shall be filled in by the Applicant, each member of a Joint Venture and each subcontractor proposed by the Applicant]

> Applicant's Name: [insert full name] Date: [insert day, month, year] Joint Venture Member's or Subcontractor's Name: [insert full name] ISD No. and title: [insert ISD number and title] Page [insert page number] of [insert total number] pages

SEA and/or SH Declaration

in accordance with Section III, Qualification Criteria, and Requirements

We:

(a) have not been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations

□ (b) are subject to disqualification by the Bank for non-compliance with SEA/ SH obligations

 \Box (c) had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations. An arbitral award on the disqualification case has been made in our favor.

□ (d) had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations for a period of two years. We have subsequently demonstrated that we have adequate capacity and commitment to comply with SEA/ SH obligations.

(e) had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations for a period of two years. We have attached evidence demonstrating that we have adequate capacity and commitment to comply with SEA/ SH obligations.

[If (c) above is applicable, attach evidence of an arbitral award reversing the findings on the issues underlying the disqualification.]

[If (d) or (e) above are applicable, provide the following information:]

Period of disqualification: From: _____ To: _____

If previously provided for another Bank financed works contract, details of evidence that demonstrated adequate capacity and commitment to comply with SEA/ SH obligations (as per (d) above)

Name of Employer:

Name of Project: _____

Contract description:

Brief summary of evidence provided: _

Contact Information: (Tel, email, name of contact person): _

As an alternative to the evidence under (d), other evidence demonstrating adequate capacity and commitment to comply with SEA/ SH obligations (**as per (e) above**) [attach details as appropriate].

Form FIN – 3.1 Financial Situation and Performance

[The following table shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name: [insert full name] Date: [insert day, month, year] Joint Venture Member Name: [insert full name] ISD No. and title: [insert ISD number and title] Page [insert page number] of [insert total number] pages

1. Financial data

| Type of Financial information in (currency)Historic information for previous _ [insert nu [insert in words](amount in currency, currency, exchange u equivalent) | | | | ls] e <mark>xchange rat</mark> | | |
|--|--------------|--------------|-------------|-----------------------------------|--------|--|
| | Year 1 | Year 2 | Year 3 | Year4 | Year 5 | |
| Statement of Financial Position | (Information | n from Balan | ce Sheet) | | | |
| Total Assets (TA) | | | | | | |
| Total Liabilities (TL) | | | | | | |
| Total Equity/Net Worth (NW) | | | | | | |
| Current Assets (CA) | | | | | | |
| Current Liabilities (CL) | | | | | | |
| Working Capital (WC) | | | | | | |
| | Information | from Income | e Statement | | | |
| Total Revenue (TR) | | | | | | |
| Profits Before Taxes (PBT) | | | | | | |
| | | Cash Flow I | Information | | | |
| Cash Flow from Operating Activities | | | | | | |

* Refer ITA 14 for the exchange rate

2. Sources of Finance

[The following table shall be filled in for the Applicant and all parties combined in case of a Joint Venture]

Specify sources of finance to meet the cash flow requirements on contracts currently in progress and for future contract commitments.

| No. | Source of finance | Amount (US\$ equivalent) |
|-----|-------------------|--------------------------|
| 1 | | |
| 2 | | |
| 3 | | |
| | | |

3. Financial documents

The Applicant and its parties shall provide copies of financial statements for [number] years pursuant Section III, Table 1 -Qualification Criteria, and Requirements Sub-factor 3.1. The financial statements shall:

- (a) reflect the financial situation of the Applicant or in case of JV member, and not an affiliated entity (such as parent company or group member).
- (b) be independently audited or certified in accordance with local legislation.
- (c) be complete, including all notes to the financial statements.
- (d) correspond to accounting periods already completed and audited.
- \Box Attached are copies of financial statements¹ for the *[number]* years required above; and complying with the requirements

¹ If the most recent set of financial statements is for a period earlier than 12 months from the date of Application, the reason for this should be justified.

Form FIN - 3.2 Average Annual Construction Turnover

[The following table shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name: [insert full name] Date: [insert day, month, year] Joint Venture Member Name: [insert full name] ISD No. and title: [insert ISD number and title] Page [insert page number] of [insert total number] pages

| | Annual Construction Turnover Data | | | | |
|--------------------------------|--|---|----------------|--|--|
| Year | Amount Currency | Exchange rate* | USD equivalent | | |
| [indicate calendar year] | [insert amount and indicate currency] | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | Average Annual Construction Turnover ** | | | |

- * Refer ITA 14 for date and source of exchange rate.
- ** Total USD equivalent for all years divided by the total number of years. See Section III, Table 1 Qualification Criteria, and Requirements, 3.2.

Form EXP - 4.1 General Construction Experience

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]

Applicant's Name: [insert full name] Date: [insert day, month, year] Joint Venture Member Name: [insert full name] ISD No. and title: [insert ISD number and title] Page [insert page number] of [insert total number] pages

[Identify contracts that demonstrate continuous construction work over the past [number] years pursuant to Section III, Table 1 Qualification Criteria and Requirements, Sub-Factor 4.1. List contracts chronologically, according to their commencement (starting) dates.]

| Starting Year | Ending Year | Contract Identification | Role of Applicant |
|--------------------|--------------------|---|--|
| [indicate year] | [indicate year] | Contract name: <i>[insert full name]</i> Brief Description of the Contracts performed by the Applicant: <i>[describe contracts performed briefly]</i> Amount of contract: <i>[insert amount in currency,</i> | [insert "Prime Contractor" or "JV Member" or "Sub-contractor" |
| | | <i>mention currency used, exchange rate and US\$</i> <i>equivalent*]</i> Name of Employer: [indicate full name] Address: [indicate street/number/town or city/country] | or "Management Contractor"] |
| | | Contract name: [insert full name] Brief Description of the Contracts performed by the Applicant: [describe contracts performed briefly] Amount of contract: [insert amount in currency, mention currency used, exchange rate and US\$ equivalent*] Name of Employer: [indicate full name] Address: [indicate street/number/town or city/country] | [insert "Prime Contractor" or "JV Member" or "Sub-contractor" or "Management Contractor"] |
| | | Contract name: [insert full name] Brief Description of the Contracts performed by the Applicant: [describe contracts performed briefly] Amount of contract: [insert amount in currency, mention currency used, exchange rate and US\$ equivalent*] Name of Employer: [indicate full name] Address: [indicate street/number/town or city/country] | [insert "Prime Contractor" or "JV Member" or "Sub-contractor" or "Management Contractor"] |

* Refer ITA 14 for date and source of exchange rate.

Form EXP - 4.2 (a & c to h) Specific Experience

(Table 1, 4.2 and Table 2)

[The following table shall be filled in for contracts performed by the Applicant, each member of a Joint Venture, and Specialized Sub-contractors]

Applicant's Name: [insert full name] Date: [insert day, month, year] Joint Venture Member Name: [insert full name] ISD No. and title: [insert ISD number and title] Page [insert page number] of [insert total number] pages

| Similar Contract No. [insert number] of [insert number of similar contracts required] | Information | | | | |
|---|--|--|--|--------------------|--|
| Contract Identification | [insert con | tract name and i | umber, if appli | icable] | |
| Award date | [insert da | y, month, year, e | .g., 15 June 20 | 15] | |
| Completion date | [insert day, month, year, e.g., 03 October 2017] | | | · 2017] | |
| Role in Contract [check the appropriate box] | Prime Contractor | Member in JV □ | Management Contractor | Sub- contractor | |
| Total Contract Amount | - | nsert total contract amount local currency] US\$ [insert Exchange and total contract amount in US\$ equivalent] * | | ract amount | |
| If member in a JV or sub- contractor, specify share in value in total Contract amount and roles and responsibilities | [insert a percentage amount] | [insert total contract amount in local currency] | [insert exchange rate and total contract amount in U equivalent] * | | |
| - | [insert roles | t roles and responsibilities] | | | |
| Description of the similarity in accordance with Section III Table 1, 4.2 | | | | | |
| Physical size of required contracts items | ts [insert physical size of items] | | | | |
| Complexity | [insert description of complexity] | | | | |
| Methods/Technology | [insert specific aspects of the methods/technology involved in the contract] | | | | |

57

| Similar Contract No. [insert number] of [insert number of similar contracts required] | Information |
|---|---|
| Other Characteristics | [insert other characteristics as described in Section VII, Scope of Employer's Requirements] |
| Employer's Name: | [insert full name] |
| Address: | [indicate street / number / town or city / country] |
| Telephone/fax number E-mail: | [insert telephone/fax numbers, including country and city area codes] [insert e-mail address, if available] |

* Refer ITA 14 for date and source of exchange rate.

Form EXP - 4.2(b) Specific Experience in Managing ES aspects

[The following table shall be filled in for contracts performed by the Applicant, and each member of a Joint Venture]

| | Applicant's Name: _ | |
|---------|----------------------|-------|
| | Date: | |
| Applica | nt's JV Member Name: | |
| | ISD No. and title: | |
| Page | of | pages |

1. Key Requirement no 1 in accordance with 4.2 (b):

| Contract Identification | | | | |
|--------------------------------|---------------------|----------------------|--------------------------|---------------|
| Award date | | | | |
| Completion date | | | | |
| Role in Contract | Prime Contractor | Member in JV □ | Management Contractor | Subcontractor |
| Total Contract Amount | | | US\$ | |
| Details of relevant experience | | | 1 | |

- 2. Key Requirement no 2 in accordance with 4.2 (b): _____
- 3. Key Requirement no 3 in accordance with 4.2 (b): _____

. . .

Table 2-PMContract / Project Management Capability

Table 2-ERUnderstanding of the Employer's Requirements

Table 2-SPSustainable Procurement

Section V - Eligible Countries

Eligibility for the Provision of Goods, Works, and Services in Bank-Financed Procurement

In reference to ITA 5.1, for the information of the Applicants, at the present time firms and individuals, supply of goods, or contracting of works or services, from the following countries are excluded from this Initial Selection process:

Under ITA 5.1 (a) none

Under ITA 5.1 (b) none

Section VI - Fraud and Corruption

(Text in this Section VI shall not be modified)

1. Purpose

1.1 The Bank's Anti-Corruption Guidelines and this annex apply with respect to procurement under Bank Investment Project Financing operations.

2. Requirements

- 2.1 The Bank requires that Borrowers (including beneficiaries of Bank financing); bidders (applicants/proposers), consultants, contractors and suppliers; any sub-contractors, sub-consultants, service providers or suppliers; any agents (whether declared or not); and any of their personnel, observe the highest standard of ethics during the procurement process, selection and contract execution of Bank-financed contracts, and refrain from Fraud and Corruption.
- 2.2 To this end, the Bank:
 - a. Defines, for the purposes of this provision, the terms set forth below as follows:
 - i. "Corrupt practice" is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party.
 - ii. "Fraudulent practice" is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation.
 - iii. "Collusive practice" is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party.
 - iv. "Coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party.
 - v. "Obstructive practice" is:
 - (a) deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or
 - (b) acts intended to materially impede the exercise of the Bank's inspection and audit rights provided for under paragraph 2.2 e. below.

- b. Rejects a proposal for award if the Bank determines that the firm or individual recommended for award, any of its personnel, or its agents, or its sub-consultants, sub-contractors, service providers, suppliers and/ or their employees, has, directly or indirectly, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question.
- c. In addition to the legal remedies set out in the relevant Legal Agreement, may take other appropriate actions, including declaring misprocurement, if the Bank determines at any time that representatives of the Borrower or of a recipient of any part of the proceeds of the loan engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices during the procurement process, selection and/or execution of the contract in question, without the Borrower having taken timely and appropriate action satisfactory to the Bank to address such practices when they occur, including by failing to inform the Bank in a timely manner at the time they knew of the practices;
- d. Pursuant to the Bank's Anti-Corruption Guidelines, and in accordance with the Bank's prevailing sanctions policies and procedures, may sanction a firm or individual, either indefinitely or for a stated period of time, including by publicly declaring such a firm or individual ineligible (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner;¹ (ii) to be a nominated² sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project;
- e. Requires that a clause be included in request for bid/request for proposals documents and in contracts financed by a Bank loan, requiring (i) bidders (applicants/proposers), consultants, contractors, and suppliers, and their sub-contractors, sub-consultants, service providers, suppliers, agents personnel, permit the Bank to inspect³ all accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have them audited by auditors appointed by the Bank.

¹ For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated subcontractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

² A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the particular bidding document) is one which has been: (i) included by the bidder in its pre-qualification Application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

³ Inspections in this context usually are investigative (i.e., forensic) in nature. They involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verification of information.

PART 2 – Employer's Requirements
Section VII - Scope of Employer's Requirements

Contents

| 1. Description of the Works (EPC/Turnkey) | 70 |
|--|----|
| 2. Implementation Period | 74 |
| 3. Site and Other Data | 75 |
| 4. Environmental and Social (ES) Requirement | 85 |

1. **Description of the Works (EPC/Turnkey)**

1.1 Introduction

The Government of Cabo Verde (GoCV) has an ambitious plan to diversify its energy mix to provide clean, reliable, and affordable electricity supply to its population, while mobilizing private sector investments as much as possible. There are, however, a number of challenges, including: the lack of scale of power generation facilities due to the small nature of the islands composing the archipelago; the need for grid extensions and reinforcement as well as storage capacity to integrate into the system the power generated from variable renewable energy facilities; the institutional barriers to scaling up distributed generation; the limited capacity of the GoCV to procure new renewable energy IPPs; and the poor financial standing and performance of the utility company ELECTRA, as offtaker of the future IPPs.

Concerning the energy sector, a National Program for Sustainable Energy (PNSE) was launched within the framework of the country's Strategic Plan for Sustainable Development (PEDS 2017-2021). The PNSE aims to support a secure, efficient, and sustainable energy sector, reducing the country's dependence on imported refined fossil fuels while ensuring universal access and energy security.

Cabo Verde is committed to the global agenda of combating climate change by ratifying the Paris Agreement and submitting its National Determined Contribution –NDC, with a long-term strategy towards an energy transition. The commitments in the NDC are echoed in their recently approved Electricity Sector Master Plan (2018-2040).

The Electricity Sector Master Plan 2018-2040, elects the following least-cost targets:

- Achieve 30 % of electricity production from RE sources by 2025, per the mandatory commitment made by Cabo Verde at the Conference of the Parties of Paris (COP21).
- To exceed 50 % of electricity production from renewable energy sources by 2030, up to the percentage of integration that minimizes the costs of electricity production.
- Maintain the focus on wind energy and start an ambitious program of solar energy development.
- Promote the development of a storage solutions (BESS and others).
- Maintain the focus on promoting energy efficiency (EE) and combating energy losses, as key vectors for reducing energy costs.

To achieve its goals, the GoCV negotiated with the World Bank a financing to implement the "Renewable Energy and Improved Utility Performance Project (**REIUPP**)".

The project supports the GoCV's ambition to provide sustainable electricity services to its population by providing support for (i) variable renewable energy integration investments; (ii) distributed generation investments; (iii) transaction advisory services for the electricity sector reform; and (iv) project preparation and implementation support as well as technical assistance and capacity building for the development of the electricity sector.

Six islands are being targeted for the power infrastructure investments: São Vicente, Sal, Fogo, Santo Antão, Maio, and São Nicolau. The investment needs in the islands of São Vicente and Sal

being large enough (> 5 MW) to attract private developers, the GoCV with support of the World Bank and Lux Development has to launch a competitive process to select a private developer who will mobilize private capital to finance those investments as IPPs.

For the four other islands where the investment needs are much smaller (around 1 MW), the project finances these investments as EPC contract.

The main development objectives of the Renewable Energy and Improved Utility Performance Project financed by the World Bank are to increase renewable energy generation and improve the performance of the electricity utility in Cabo Verde by leveraging private finance. This project, whose cost is estimated at about US\$ 16.5 million, is composed of three components: (i) Component 1: Renewable and Efficient Electricity Service; (ii) Component 2: Advisory Services for Electricity Sector Reform Implementation; and (iii) Component 3: Project Implementation Support and Technical Assistance.

The Government intends to apply part of the amount of said financing to carry out the construction of four (4) Solar PV plants on the Islands of Fogo, Maio, San Nicolau, and Santo Antao.

The project owner is the Ministry of Industry, Trade and Energy through the National Directorate of Industry, Trade and Energy (Energy Services) (hereinafter DNICE). The DNICE is responsible for all the technical aspects related to the Project.

Project management and activities are coordinated and implemented by UGPE (Unidade de Gestão de Projetos Especiais) with full fiduciary responsibility. The UGPE, is supported by a dedicated team, which itself is supported by the services of MRV Energy Consulting Inc., a consulting engineering company, for the control and supervision of the works to be implemented during the project (Component 1).

This component supports: (i) the construction of small-scale solar power plants, their connection to the grid as well as the installation of pilot energy storage facilities for variable renewable energy (VRE) integration; and (ii) the installation of rooftop solar PV systems and energy efficiency facilities on public health buildings <u>(out of scope)</u>.

Based on the priority needs of the GoCV, the project supports the following small-scale projects included in the first phase of the power sector Master Plan: 1.3 MWp on Fogo; 1.2 MWp on Santo Antão; 0.4 MWp on Maio; and 0.4 MWp on São Nicolas islands. Pilot battery storage facilities <u>(out of scope)</u> will also be installed to reduce demand and supply fluctuations, thereby supporting voltage and frequency regulation and VRE integration to the grid.

1.2 Outline description of the Works for this Initial Selection

This component supports the construction of small-scale solar power plants, their connection to the grid as well as the installation of pilot energy storage facilities for variable renewable energy (VRE) integration.

Based on the priority needs of the GoCV, the project supports the following small-scale projects included in the first phase of the power sector Master Plan: 1.3 MWp on Fogo; 1.2 MWp on Santo Antão; 0.4 MWp on Maio; and 0.4 MWp on São Nicolas islands.

The EPC contract will be a turnkey project for the construction of new photovoltaic solar power plants at the four (4) sites, complete with all PV modules, inverters, cabling control, protection, safety, SCADA, synchronizing auxillary equipment and ground mounted structures.

1.3 Functional and Performance Requirements

The next chapter indicates the proposed layouts for each of the four Solar PV plants. The final layouts and designs will however be dependent on the Contractor's design. The Contractor will determine all plant and material sizes and quantities and price accordingly for a fully functional PV plant, in accordance with the Owner's Requirements, applicable National and International standards.

Sites to be utilized for the new PV Plants are indicated in part Sites and Other Data.

The proposed single line diagrams indicate the entire plants and interfaces into the Medium Voltage network.

No geotechnical survey and soil data are available for the site. Geotechnical surveys must be realized by the Contractor.

The Works to be completed, apart from the general requirements of the Contractor and all other tasks required to commission and maintain a working Solar Plant, shall include the following:

Civil Works:

- Clearing.
- Levelling.
- Foundations.
- Support structures.
- Trenching.

Major Equipment:

- Photovoltaic modules.
- Inverters.
- Transformers

Electrical System:

- DC Reticulation.
- Cables.
- Ducting / trays.
- Connection of modules in strings.
- Connection of strings to combiner boxes or directly to inverter (as appropriate).
- Medium and Low Voltage AC Cables.
- Ducting / trays /trenches.
- Switchboard.
- Protection devices.
- Connection inverters to switchboard.
- Connection of switchboard to transformer.
- Combiner boxes (if appropriate).
- Lightning protection & Earthing.
- Meteorological Station.
- Monitoring and communication system.
- Sensors.

- Cabling.
- Modem.
- UPS.
- Meter.

Connection to the grid (Fogo, Sao Nicolau) and Electra's Power plants (Santo Antao, Maio):

- Clearing.
- Trenching.
- NV cables.
- Compact substations (if required).
- Poles and mechanical equipment (if required).

The Contractor will be responsible for the Security at the site during the Construction phase of the works.

The Contractor will be responsible for a training program of the DNICE and UGPE staff. This shall entail the day-to-day operation and maintenance of the PV plants. The training provided shall be structured such that it equips the local staff with the necessary skills and knowledge to successfully take over the Design, Construction, Commissioning, and Operation and Maintenance of the Solar PV systems at the end of the EPC contract.

1.4 Commissioning and Trial Operation Requirements

The average Performance Ratio of each of the PV plants measured or calculated on annual basis shall be at least 85 %. This is a pre-qualifying requirement and Applicants shall ensure that the PR obtained on their design simulation report complies with this requirement.

1.5 Testing and Quality Assurance Requirement

The Applicant shall submit a Quality Assurance Plan (QAP). This need not be an ISO 9001 compliant plan, but such certification shall be deemed favorable.

The QAP shall detail as a minimum:

- Management philosophy and structure of the business.
- Supply chain management.
- Subcontractor management philosophy (indicating split of in-house and subcontracting).
- Quality of materials and equipment management.
- Staff training and development philosophy.
- Project quality standards.
- Ethics.

For the purposes of Prequalification, the Applicant shall submit a high-level overview of their QAP.

2. Implementation Period

The initial selection process is expected to run from February 09, 2023 until approval of the initial selection report. Parties successful in the prequalification process will be given the full technical specification for the project and shall have a period of forty-five (45) days to submit their bids.

A selection process will commence with the successful bidder nominated by 01/06/2023. It is anticipated that construction may commence by August 2023, with commissioning expected by June 2025.

The indicative construction period is expected to be as follow:

- Fogo: 1.3 MWp near the city of Fonte Aleixo, to be constructed and commissioned concurrently, with a commissioning date of February 2024.
- Sao Nicolau: 0.4 MWp at Ribeira Brava (near the airport), to be constructed and commissioned concurrently, with a commissioning date of July 2024.
- Santo Antao: 1,2 MWp at Porto Novo (near the Electra's Thermal Power Plant), to be constructed and commissioned concurrently, with a commissioning date of January 2025.
- Maio: 0.4 MWp at Porto Inglês (near the Electra's Thermal Power Plant), to be constructed and commissioned concurrently, with a commissioning date of June 2025

3. Sites and Other Data

3.1 Fogo island

The Solar PV plant will be implanted near the city of Fonte Aleixo on a public field (98,000 m²). The coordinates of the different points of the central are the following:

| POINTS | GPS |
|--------|-------------------------------|
| 1 | 14.8577841400 - 24.3457281240 |
| 2 | 14.8580331290 -24.3445351910 |
| 3 | 14.8569909220 -24.3433538350 |
| 4 | 14.8562741100 -24.3430488000 |
| 5 | 14.8537899410 -24.3431294210 |
| 6 | 14.8535359950 -24.3450883720 |
| 7 | 14.8557570120 -24.3450771750 |
| 8 | 14.8565456970 -24.3451667760 |

The location of the central and its different points are presented below.



The field is located near the main road. The distance between the plot (point3) and the road is about 100 m.

There are no houses near to the field.

There is an elevation of about 2.23 m and a slope of about 14.1 m on a transversal (East – West) axis.



For the longitudinal axis (North – South), there is a slope of 70.8m.



There is no significant vegetation or requirements to be taken into consideration for the construction of the plant.



The project consists of the implementation of PV modules on ground-mounted fixed structures. Panels will be oriented to the south with an inclination of 18°. This design allows for the alignment of the ground-mounted structures with the topography of the site.

The proposed layout allows to accommodate the 1.3 MWp solar PV installation and the grid connexion infrastructure.



3.2 São Nicolau island

The solar PV plat will be implanted in the municipality of Ribeira Brava, close the Sao Nicolau airport, on a public field (40 000 m²).

The coordinates of the different points of the field are the following:

| POINTS | GPS |
|--------|-------------------------------|
| 1 | 16.5841947400 - 24.2804889390 |
| 2 | 16.5827878920 - 24.2801681350 |
| 3 | 16.5819646430 - 24.2779451440 |
| 4 | 16.5841965460 - 24.2787373140 |

The location of the central and its different points are presented below.

The field is relatively flat on the transversal axis (east – west).



In the longitudinal axis (north-south), there is an elevation of 1,77m and a slope of 0,24m.



There is no significant vegetation on the field.



The project consists of the implementation of PV modules on ground-mounted fixed structures. Panels will be oriented to the south with an inclination of 18°. This design allows for the alignment of the ground-mounted structures with the topography of the site.

The proposed layout allows to accommodate the 0.4 MWp solar PV installation and the grid connexion infrastructure.



3.3 Santo Antão island

The solar PV plat will be implanted on the city of Porto Novo, close to the Electra power plant on a public field (46,000 m²).

| POINTS | GPS |
|--------|------------------------------|
| 1 | 17.0152236610 -25.0966175720 |
| 2 | 17.0155038420 -25.0948675740 |
| 3 | 17.0132447590 -25.0944813840 |
| 4 | 17.0130056460 -25.0961194800 |

The coordinates of the different points of the central are the following:

The location of the central and its different points are presented below.

There is a slope of about 2.37m on the transversal axis (east – west).



In the longitudinal axis (north-south), there is a slope of 8.57m.



Many acacia trees are located on the site.



The project consists of the implementation of PV modules on ground-mounted fixed structures. Panels will be oriented to the south with an inclination of 18°. This design allows for alignment of the ground-mounted structures with the topography of the site.

The proposed layout allows to accommodate the 1.2 MWp solar PV installation and the grid connexion infrastructure.



3.4 Maio island

The solar PV plant will be implanted on the city of Porto Ingles, close to the Electra power plant on a public field $(21,600 \text{ m}^2)$.

| POINTS | GPS |
|--------|-------------------------------|
| 1 | 15.1465315640 - 23.1972751910 |
| 2 | 15.1471978020 - 23.1954160040 |
| 3 | 15.1463493720 - 23.1950953800 |
| 4 | 15.1456634040 - 23.1970096370 |

The coordinates of the different points of the field are the following:

The location of the central and its different points are presented below.

There is an elevation of about 2 m and a slope of about 0.53 m on the transversal axis (east – west).



In the longitudinal axis (north-south), there is a slope of 0.27m along the entire way.



There is no significant vegetation.



The project consists of the implementation of PV modules on ground-mounted fixed structures. Panels will be oriented to the south with an inclination of 18°. This design allows for the alignment of the ground-mounted structures with the topography of the site.

The proposed layout allows to accommodate the 0,4 MWp solar PV installation and the grid connexion infrastructure.



3.5 General climatic conditions of Cabo Verde

The Works shall be suitable to withstand the climatic conditions existing at the sites, and due consideration shall be given to these conditions in determining the construction program.

The following local conditions shall be assumed:

- Air temperature
 - \circ min 18°C.
 - max 33 °C.
- Relative humidity
 - o min 61 %.
 - o max 78 %.
- Atmospheric pressure at sea level: 1012 hPa.
- Dominant winds:
 - \circ October July North East (30 °).
 - \circ August September South East (150°).
 - o non-typhoon area.
 - o maximum wind gusts: 25 m/s.

Season precipitations of rains (annual average): 100 to 250 mm

- Other characteristics:
 - Tropical climate.
 - Dusty and saline air (volcanic rock dusts, sand).
 - Site altitude:
 - Fogo: 600 m.
 - San Nicolau: 180 m.
 - Santo Antao: 36 m.
 - Maio: 52 m

Plants and equipment shall be provided that will be able to withstand the effects of prolonged high ambient temperature, humidity, sandstorms (Harmattan from Sahara Desert) and salinity.

3.6 Electrical characteristics of Cape Verde

Each Solar PV plant shall supply a 50 Hz three-phase, three-wire electrical system covering the four islands:

- In normal conditions:
 - \circ Rated frequency 50 Hz \pm 1%
 - \circ Rated voltage MV 20 kV \pm 5
 - Rated voltage LV 400/230 V \pm 5%
- In exceptional conditions:
 - \circ variations of frequency 1 Hz + 1 Hz
 - \circ variations of voltage MV -15 % + 5 %
- 20 kV Network MV Neutral System

The contractor shall design the solar PV plants and power lines in accordance with the Grid Code in force in Cabo Verde.

4. Environmental and Social (ES) Requirement

In addition to the UGPE's environmental and social specialists, the Owner's Engineer will oversee civil works and closely monitor the compliance of the Contractor with, among others, environmental and social contractual clauses related to construction. The Owner's Engineer key staff will include an environmental and social safeguards supervisor.

Environmental and Social Impact Assessments (ESIAs) and Environmental and Social Management Plans (ESMPs) have been prepared for each of the four sites.

The general areas of the project selected for the installation of the small-scale power plants have been defined by a Decree Law (Decree Law no. 1/2011) as Renewable Energy Development Zones (Zonas de Desenvolvimento de Energia Renovável, or ZDERs) and are public property and free of human settlements. No land acquisition is foreseen, nor temporary, permanent physical or economic displacement.

The project sites will be in peri-urban and rural areas and not in the vicinity of legally protected areas or areas of high biodiversity value. Thus, involuntary resettlement is not anticipated for the installation of the small-scale power plants.

To note that the plot of land for the São Nicolau PV plant is located in proximity to the Preguiça – São Nicolau airport, in an area which is subject to aeronautic restrictions. Being so the detailed design of the PV plant must be submitted to the aeronautical authority for approval, as prescribed in the applicable regulations. A preliminary glare assessment study was performed for this PV plan. This study will be a part of the tender documentation.

The environmental and social risks and impacts will be manageable to an acceptable level by applying construction industry best practices. The ESMPs prepared for the four sites contain a clarification of the responsibilities of the different entities involved in the Project and define, among other aspects:

- Measures for the planning and development phase of the engineering studies.
- Measures for the construction phase (preparation of the work, location and operation of the construction site, execution, and completion of the works).
- Environmental and social monitoring requirements.

The Contractor will be required to complement the ESMPs prepared for each of the sites and to detail how the measures prescribed for the construction activities will be implemented.

The UGPE has developed and will maintain a grievance mechanism for any labor and employment related issues that is easily accessible and disseminated to Project workers in accordance with ESS 2 and applicable national labor laws. The Contractor and its suppliers will ensure that they have sufficient resources to disseminate and implement the grievance mechanism (GM).

General provisions for the code of conduct, including Gender-based Violence (GBV), will form part of the contractual obligations.

It will be required that the Contractor organizes training for workers to ensure better risk management for local communities. The training shall include health and safety, code of conduct, grievance reporting and management, including GBV related grievances, etc.

Regarding the risk of forced labor, under Environmental and Social Standards 2 (ESS2) of the World Bank it will be required that the suppliers of solar panels to the project will not engage or employ any forced labor among their work force.

The Applicant shall provide two declarations: a Forced Labor Performance Declaration (which covers past performance) and a Forced Labor Declaration (which covers future commitments to prevent, monitor and report on any forced labor, cascading the requirements to their own sub-contractors and suppliers).